

1 **Circumventing a broken heart: cytokines and the subfornical organ**

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28 Heart failure is a growing epidemic in developed nations. According to the most recent
29 figures from the Centers for Disease Control and Prevention and the 2016 statistical update
30 from the American Heart Association, it is estimated that approximately 1.8% of the US
31 population, approximately 5.8 million adults, have heart failure (4, 8). This is reflected in
32 other nations; for example, in Australia an estimated 1-2% of the population have heart
33 failure (13). Worryingly, in the US alone, it has been forecast that a 46% increase in the
34 prevalence of heart failure will occur during the period from 2012 to 2030. Thus, it is
35 predicted that in the US more than 8 million adults will suffer from heart failure by 2030 (8).
36 This increase in the prevalence of heart failure has been largely attributed to an aging
37 population, but the increases in the rates of obesity and diabetes, as well as improvements
38 in detection, monitoring and treatment of acute myocardial infarction, are significant
39 factors contributing to this rise (14).

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41 Although current therapies for heart have some benefit, they do not prevent the
42 progression of the disease and prognosis remains poor. Thus, there is great interest in the
43 identification of new pathways that can be targeted in heart failure to slow the disease
44 process and thereby reduce morbidity and mortality. One focus of research has been the
45 role of circulating pro-inflammatory cytokines, such as tumor necrosis factor- α (TNF- α) and
46 interleukins (such as IL-1), the levels of which are elevated in patients with multiple forms of
47 heart failure, including heart failure with reduced and preserved ejection fraction as well as
48 acute decompensated heart failure (6). Increased circulating levels of proinflammatory
49 cytokines (PIC) are associated with adverse outcomes in patients with heart failure;
50 however, the mechanisms by which PICs have detrimental effects remain largely unknown.
51 Over the past decade, several research groups, including that led by Robert Felder, have
52 explored the roles and actions of PICs in the brains of animals with heart failure (12, 15, 16).
53 They showed that peripheral administration of PICs activates the sympathetic nervous
54 system, presumably via a direct central action (16). It is well established that sympathetic
55 overactivity in heart failure is detrimental and contributes to peripheral vasoconstriction
56 and extracellular fluid volume accumulation, which places additional stress on the failing
57 heart leading to further cardiac damage (9). So how do large, hydrophobic peptides such as
58 circulating inflammatory cytokines, which are too big to cross the blood-brain barrier,
59 activate the sympathetic nervous system? Current theories suggest that cytokines, such as

60 TNF- α and IL-1 β , may activate receptors on brain endothelial and perivascular cells and
61 signal via COX-2 and prostaglandin E2 (5). Another suggested mechanism involves active
62 transport of cytokines such as TNF- α and IL-1 β across the blood-brain barrier (1, 2). The
63 paper by Yu et al. investigates the role of another signalling pathway, which involves a direct
64 action of TNF- α on the sensory circumventricular organs of the brain (18) (Figure 1).

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66 Located along the wall of the third and fourth ventricle, the sensory circumventricular
67 organs are specialised brain nuclei that lack an intact blood-brain barrier due to the
68 presence of fenestrated capillaries. There are three of these specialised structures in the
69 brain – the subfornical organ (SFO) and the organum vasculosum of the lamina terminalis in
70 the anterior wall of the third ventricle and the area postrema located on the floor of the
71 fourth ventricle. In earlier work, the Felder group demonstrated that the SFO plays a key
72 role in mediating the sympathoexcitatory effects of circulating TNF- α , because ablation of
73 the SFO abolished the sympathoexcitatory effects of peripherally administered TNF- α (16).
74 Furthermore, direct microinjections of TNF- α into the SFO upregulated key components of
75 the renin-angiotensin system (RAS) and the excitatory effects of TNF- α on renal sympathetic
76 nerve activity were attenuated by local microinjection of the angiotensin II receptor type-1
77 antagonist, losartan into the SFO (15).

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79 In this issue of the *American Journal of Physiology: Heart and Circulatory Physiology*, Yu et
80 al., (17) present a series of elegant experiments that shed additional light on the role of
81 TNF- α and its cognate receptor TNF- α receptor type 1 (TNFR1) in activating central
82 pathways that lead to increased sympathetic drive in rats with heart failure (17). Using a
83 lentiviral vector to deliver shRNA specifically against the TNFR1 receptor in the SFO, they
84 were able to show effective knockdown of TNFR1 mRNA and receptor protein in the SFO,
85 but not in the hypothalamic paraventricular nucleus (PVN), in rats with heart failure. Heart
86 failure rats injected with vehicle (artificial cerebrospinal fluid) or control (scrambled shRNA)
87 did not have reduced levels of TNFR1 mRNA expression. Next, they determined the effect of
88 TNFR1 knockdown on the expression of components of RAS (namely angiotensin converting
89 enzyme and the angiotensin type-1 receptor), as well as the inflammatory mediators, TNF-
90 α , IL-1 β and COX-2 (but not COX-1) in the SFO. Interestingly, in rats with heart failure, where

91 TNFR1 was knocked down in the SFO (i.e. injected with lentiviral vector encoding the TNFR1
92 shRNA), all these excitatory mediators were significantly reduced not just in the SFO, but
93 also in the PVN. The fact that the PVN also showed lower expression of RAS components
94 and inflammatory mediators fits nicely as the PVN receives direct inputs from SFO neurons
95 and mediates, in part, the increase in plasma norepinephrine seen in rats with coronary
96 artery ligation induced heart failure (18).

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98 The above findings are interesting and shed additional light on the possible mechanisms by
99 which TNF- α and TNFR1, acting in the SFO, drive increased sympathetic activity in rats with
100 heart failure. Reducing TNFR1 expression in the SFO of heart failure rats led to significantly
101 lower plasma levels of norepinephrine compared with vehicle injected rats (i.e. no
102 knockdown of TNFR1 in the SFO). This suggests a lowering of sympathetic nerve activity, but
103 as direct sympathetic nerve recordings were not made it is unknown if this reflects a
104 generalised increase in sympathetic tone or a selective increase to individual organs such as
105 the kidney. Importantly, TNFR1 knockdown led to improved cardiac hemodynamics,
106 including an increase in LV contractility, reduced pulmonary congestion and decreased right
107 ventricular remodelling. Such improvements in cardiac hemodynamics may reflect the
108 effects of reduced renal sympathetic nerve activity. Despite these improvements, TNFR1
109 shRNA treated heart failure rats did not show reduced deterioration in echocardiographic
110 indices of cardiac function, left ventricular ejection fraction or left ventricular end-diastolic
111 volume, suggesting that autonomic balance to the heart may not have improved. It would
112 be interesting to follow treated animals for longer periods of time to determine if the
113 progressive decline in cardiac function that occurs in heart failure was prevented.

114 Knockdown of TNFR1 in sham control rats had no adverse effects on hemodynamics or
115 cardiac function.

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117 Despite significant improvements over the past several decades in the treatment for
118 patients with heart failure, morbidity and mortality remain high. There have been few new
119 clinical breakthroughs in the past 10 years. The most recent advance, the first in many
120 years, reported by the PARADIGM-HF trial, showed significant benefit of sacubitril/valsartan
121 treatment over an angiotensin-converting enzyme inhibitor irrespective of previous
122 coronary revascularisation or dose of β -blocker (10). The findings presented by Yu et al,

123 offer us a glimpse of a possible new target for heart failure treatment, the brain, via the
124 knockdown of TNFR1 in the SFO. While it is early days and much work is still needed with
125 regard to improving safety and efficacy of viral vector use to treat intractable diseases, viral
126 vector mediated gene delivery is currently being trialled to treat a number of diseases
127 ranging from Leber hereditary optic neuropathy (3) to Alzheimer's disease (11). Despite this
128 rather hopeful outlook, we are nevertheless cognisant of the considerable barriers that
129 need to be surmounted before findings such as these can be translated to the clinic. For
130 example, we need to carefully consider the timing of interventions. Will this treatment be
131 effective in patients with advanced heart failure and can we achieve stable knockdown of
132 the TNFR1 receptor long-term? Do we need cell specific knockdown of TNFR1, perhaps to
133 those cells that project directly to the PVN and are sympathoexcitatory? Would precise
134 targeting be possible given that the SFO is an extremely small structure in humans (7)?
135 Despite these challenges, the sensory circumventricular organs in the brain are attractive
136 sites for targeted therapies due to the absence of a blood-brain barrier. Clearly more animal
137 studies need to be performed to add to our understanding of the brain mechanisms leading
138 to the central generation of increased sympathetic tone in heart failure. We have much
139 work ahead of us; however, work such as that reported by Yu et al., will no doubt drive the
140 future development of novel treatments to reduce morbidity and mortality in heart failure.
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143 **Figure Legend**

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145 Figure 1. The damaged heart releases pro-inflammatory cytokines such as tumor necrosis- α
146 (TNF- α), which can activate neurons in sensory circumventricular organs such as the
147 subfornical organ (SFO). The SFO has projections to the hypothalamic paraventricular
148 nucleus (PVN), which project directly, or indirectly via the rostral ventrolateral medulla
149 (RVLM), to the preganglionic sympathetic neurons in the intermediolateral cell column (IML)
150 in the spinal cord to increase sympathetic nerve activity. The increases in sympathetic nerve
151 activity to the heart and kidney have detrimental actions that enhance the progression of
152 the disease. Abbreviations: COX-2, cyclooxygenase-2; IL-1 β , interleukin-1 β ; RAS, renin-
153 angiotensin system; shRNA, short hairpin ribonucleic acid; TNFR1, tumor necrosis factor
154 receptor 1.

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